

**AGENDA ITEM 8**

**JOINT INDEPENDENT AUDIT COMMITTEE**

**MARCH 2025**

**RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW**

**1. PURPOSE OF REPORT**

1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk, Assurance and Improvement Board (RAIB). The RAIB was previously called Risk and Assurance Board (RAB).

**2. RISK REGISTER DEVELOPMENTS**

**Risk Management Update**

2.1 This section of the report covers the period from 24 September 2024 until 27 January 2025. During the reporting period, reports on risk register activity were submitted to the Executive Board following the RAIB in January. The next Risk & Assurance Board is scheduled for 24 March 2025.

**Principal Risk Register**

2.2 The diagram in appendix A sets out the position on the Principal Register at 27 January 2025.

2.3 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below. No risks have been escalated to the Principal Register during the reporting period, however 1 risk has been closed on the Principal Register (8586).

2.4

**8004: Partial or Total Loss of IT Service Provision which impacts on service to the public**

Last Review Remarks:

No change to scoring or status at this review.

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**8628: Prevailing difficulties in the retention and recruitment of Detective roles impact on services to the public**

Last Review Remarks:

January Update: C/Supt Naughton updated that the Force Detective capacity has significantly increased however the capability is still limited due to experience. The

risk likelihood has been reduced from high probable to probable and this has reduced the overall risk score from Red to Amber.

**8586: Failure to effect smooth transition of enableNY to the potential Mayoral governance model.**

Last Review Remarks:

January 2025: The risk was agreed at RAIB for closure due to the following update:

Following the in-depth review of enableNY after the Combined Authority was formed , the Assistant Chief Officer (ACO), presented the recommendations to the Chief Constable and Chief Fire Officer and their respective Chief Officer Teams. The outcome recommend a restructured Support Services aligned to delivering its strategic priorities.

**7581: Failure to ensure effective financial control and financial planning processes.**

Last Review Remarks:

No change to scoring or status at this review.

**3. BUSINESS CONTINUITY MANAGEMENT**

3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes in order to ensure that NYP’s identified critical functions are maintained during periods of change and/or disruption.

3.2 A summary of the number of BC incidents reported is included in the table below, with the additional information of the type of disruption that resulted from the incident:

	2024/25 Q1 (Jan – Mar)	2024/25 Q2 (Apr-Jun)	2024/25 Q3 (Jul-Sept)	2024/25 Q4 (Oct – Dec)	Total
<b>Total Number of BC Incidents</b>	<b>5</b>	<b>7</b>	<b>5</b>	<b>8</b>	<b>25</b>
<b>Type of Disruption</b>					
• Loss of IT and communications	2	6	3	5	<b>16</b>
• Loss of Premises	3	1	2	3	<b>9</b>
• Loss of Supplier					

• Loss of People					
• Loss of Information, Data or Evidence					
• Loss of Assets and Equipment					
• Severe weather					
<b>Total Number of Force wide/ Priority 1 incidents</b>	0	0	0	1	<b>1</b>

3.3 A Priority 1 incident was reported in Q4 of 2024 and the debrief report is provided at Agenda Item 17 in the closed section. The report details 20 actions to ensure that lessons are learned and currently 14 of the actions are now complete.

**4. RECOMMENDATIONS**

- 4.1 The Committee are invited to consider the report and:
- Express any views on the Principal Risk Register content to feed into RAIB and Executive Board
  - Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
  - Indicate the level of assurance that can be drawn from the content.

**Risk Manager (Inspection and Strategic Planning, Corporate Development)**  
**Date: March 2025**