



NYFRS - Internal Audit Update Report

This document has been prepared for the Independent Audit Committee to provide a status update in relation to the Services actions arising from the current Internal Audit Inspections.

The Audit Recommendations register currently holds a total of 114 recommendations. The table below provides a ‘quick’ summary of those audits:

Assignment	Actions Agreed			Suggestion	Total	Status
	L	M	H			
Asset Management	2	4	4	0	10	Minimal Assurance
Estates Management	3	10	3	0	16	Minimal Assurance
Fleet Management	0	5	0	0	5	Partial Assurance
Follow Up (Fleet Management, Health & Safety, Gazetteer and On-Call Firefighter Recruitment)	1	9	1	0	11	Partial Assurance
Follow Up (Payroll)	0	2	0	0	2	Reasonable Progress
Follow up of Previous Internal Audit Management Actions	4	4	0	0	8	Little Progress
Gazetteer Patching Review	2	3	1	0	6	Partial Assurance
Grenfell Action Plans	2	1	0	0	3	Substantial Assurance
Health and Safety	0	1	2	0	3	Partial Assurance
ICT: Maintenance and Updates (Revised)	1	3	1	0	5	Partial Assurance
On-call Fire Fighter Recruitment	2	1	2	0	5	Partial Assurance
Payroll - Processes and Control Assurance	1	4	2	1	8	Partial Assurance
Procurement	0	5	6	1	12	Minimal Assurance
Security Policy Framework	1	7	2	1	11	Minimal Assurance
Supplier Payments: Process and Control Assurance	0	6	3	0	9	Minimal Assurance
	19	65	27	3	114	

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Of the 114 recommendations listed as of the 3rd September 2024, 43 are agreed as 'Closed' with 71 categorised as 'Live' with these further considered as follows:

- 24 recommendations are identified as completed by the Service, pending follow up.
n.b. As these have to be signed off by the auditors, they will remain on the action plan until this has been fulfilled.
- 42 recommendations are identified as in progress and on target. These actions will continue to be monitored by the Service, with regular updates provided by their owners.
- 5 recommendations are identified as overdue.
 - 2 Amber recommendations relate to Gazetteer Patching Audit and are dependent on progress with a national SLA with Devon and Cornwall (expected to be completed by January 2025)
 - 1 Amber recommendation relates to On-Call firefighter recruitment and is subject to the completion of a live recruitment process (currently underway)
 - 1 Amber recommendation is related to changes to the Procurement Act 2023, which is not due to 'go live' until late October (28th October as indicated by the Cabinet Office)
 - 1 Red recommendation is related to investigation around an on-line purchasing system for the Service. Due to the transition to a Mayoral Combined Authority shared service due diligence was deemed necessary to ensure best value for money and appraisal of all available options.

Therefore, whilst it is acknowledged by the Service that these 5 recommendations are overdue, the Service are comfortable that the mitigation offers satisfactory rational at this time as to why. That being said all 5 will remain subject to close scrutiny until their completion and sign off.

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The below table summarises the Assignment area of where these remaining 47 audit recommendations sit:

Assignment	Actions Agreed				Total
	L	M	H	Suggestion	
Asset Management	2	4	4		10
Estates Management	2	8	1		11
Fleet Management		2			2
Follow Up (Fleet Management, Health & Safety, Gazetteer and On-Call Firefighter Recruitment)	2	1			3
Follow Up (Payroll)					
Follow up of Previous Internal Audit Management Actions					
Gazetteer Patching Review		2			2
Grenfell Action Plans		1			1
Health and Safety					
ICT: Maintenance and Updates (Revised)					
On-call Fire Fighter Recruitment					
Payroll - Processes and Control Assurance				1	1
Procurement		1	1	1	3
Security Policy Framework	1	7	2	1	11
Supplier Payments: Process and Control Assurance		1	2		3
	7	27	10	3	47

The status of the remaining audits for 2024/25 are listed below with Service points of contact identified and engaged with the programme.

Upcoming:-	Date(s)
<i>Payroll: Process and Control Assurance</i>	<i>Fieldwork Complete</i>
<i>Misconduct</i>	<i>Fieldwork Complete</i>
<i>Financial Planning</i>	<i>Fieldwork Ongoing</i>
<i>Data Quality</i>	<i>Week commencing 30 September 2024</i>

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Health and Safety	Week commencing 11 November 2024
Safeguarding	Week commencing 13 January 2025
Operational Training	Week commencing 27 January 2025
Follow Up	Week commencing 17 March 2025

Interim Director of Service and Design
North Yorkshire Fire and Rescue Service
September 2024

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