

North Yorkshire Police
Record of Meeting



Meeting: Joint Independent Audit Committee
Time and date: 15:00 20th June 2023
Location: Hybrid Meeting
Chair: Stuart Green

Attendees:

Name	Role
Roman Pronyszyn	Member – Vice Chair
Michael Porter	PFCC's Chief Finance Officer 151
Mabs Hussain	Deputy Chief Constable
Mike Clements	Head of Finance
Donald Stone	Risk & Planning Manager
Simon Dennis	Chief Executive & Monitoring Officer
Dan Harrison	Internal Audit (RSM)
Holly Adams	Internal Audit (RSM)
Due to not meeting Quorate it was agreed to continue with the meeting and decisions would be considered outside the meeting/at the next quorate meeting.	
S Yapp	Personal Assistant to the Chief Officer Team

Apologies:

Name	Role
Heather Cook	Member
Alex Judge	Member
Lisa Winward	Chief Constable
Chris Rowlands	Member

Items and Decisions:

No.	Discussion	Outcome / Decision
699	<u>Attendance, Apologies and Welcome</u> Apologies were recorded	

No.	Discussion	Outcome / Decision
700	<p><u>Declaration of Interest</u> RP declared his declaration of interest for the records. RP declared an interest as a Parish Councillor in an area covered by North Yorkshire Police and Fire services</p>	
701	<p><u>Minutes of previous meeting</u> The minutes were approved for publication.</p>	
702	<p><u>Matters arising</u> There were no matters arising from the previous meeting that were not included on the agenda for this meeting.</p> <p>For the record, Chair was pleased everything was out in the public domain. Reminding the attendees that there is always a need to appreciate that confidential papers can be brought to this meeting. Closed items would be considered at the end of the meeting</p>	
703	<p><u>Internal Audit</u></p> <ul style="list-style-type: none"> • Progress Report DH advised 100% of the 2022/23 plan has been delivered and provided assurance that the 2023/ 2024 plan has been scheduled. <p>No questions raised</p> <ul style="list-style-type: none"> • Risk Management PC provided an overview of the report the findings and RSM’s opinion. <ul style="list-style-type: none"> • Key Financial Controls: Accounts Payable Partial assurance opinion, priority management actions in relation to Tranman and approval systems. Members shared concerns about the Tranman approval process. PC agreed he would check if the system had an audit log of users. GM provided the members a comprehensive update on the positive changes within fleet since the commissioned audit. <ul style="list-style-type: none"> • Collaborations No questioned raised <ul style="list-style-type: none"> • Custody Detention Review Closed item <ul style="list-style-type: none"> • Emergency services sector briefing No questions raised <ul style="list-style-type: none"> • Head of Internal Audit Opinion DH supplied a comprehensive overview SD shared that he was disappointed in relation to the PFCC opinion. MP and DH have discussed the option of having separate opinions, unfortunately this was not an option that could be considered. 	Acton 57

No.	Discussion	Outcome / Decision
	DCC shared that since the audit and HMICFRS findings, significant changes and progress is being made.	
704	<p>External Audit</p> <p>GB provided an update on the external audit. GB summarised 20/21 and 20/22 reports. Outstanding is the issue of the Joint Audit annual report for both, Mazars are working closely with HMICFRS partner Steve Cotter.</p> <p>Members requested a record of thanks to the Finance department.</p>	
705	<p>Audit Recommendations Tracker</p> <p>No questions raised</p>	
706	<p>JAC Forward Planner</p> <p>Exhibits update moved to September Review of diaries for members to attend member’s briefings. No questions</p>	
707	<p>Information Assurance Report</p> <p>Private item</p>	
708	<p>HMICFRS Reports & Recommendations Tracker</p> <p>DS thanked TC who prepared the summary for the members. Chair requested that members are briefed in more detail.</p>	
709	<p>Draft Accounts</p> <p>PFCC Accounts</p> <p>MP thanked the Finance team to have completed the accounts prior to the deadline. The accounts have been signed off, published on the websites and the notice to public to inspect, has been published. Members thanked the Finance professionals for concluding the accounts.</p>	
710	<p>Risk Register and Business Continuity Overview</p> <p>DS reflected on earlier conversations providing additional assurance.</p>	
711	<p>Draft Annual Governance Statement</p> <p>DS provided a brief introduction on the draft document. Members suggested that the recent Audit opinion and Peel report findings need to be captured earlier in the document to show transparency giving suitable emphasis to the detail. Members were happy to give guidance as revised drafts are available.</p>	
712	<p>Civil Claims Overview</p> <p>MP discussed the report No questions were raised.</p>	
713	<p>Monitoring Officer Protocol paper</p>	

No.	Discussion	Outcome / Decision
	SD requested feedback from members before it travels through the Governance process.	
714	AOB No issues raised.	
715	Date of future meeting 30th November 2023 19th March 2024 18 th June 2024	
716	The next meeting of the JIAC will take place on Tuesday 19th September 2023 at 15.00 hrs	

Actions Agreed:

No.	Action / Update	Owner	Date Issued	Date Closed
51	Matters Arising: Seized Exhibits Internal Audit Report: Supt Willey to provide an update in the short to medium term. Confirming behavioural issues are embedded and the compliance issues are coming through. To be added to the forward planner It was agreed that this item would be brought back to the September meeting.	FW DS	29.11.22	Ongoing
52	Internal Audit Payroll: Proceed with follow up plan in Jan / March. Returning to JIAC in June to provide assurance to the committee. 20.06.2022 update: PC said this item would be brought to the September meeting.	DH	29.11.2022	Ongoing
55	Monitoring Officer Protocol Report SD asked that the members consider the document and it be brought back to the June meeting for further discussion.	SD	29.11.2023	20.06.2023 Closed
56	Internal Audit: Fleet Management Michael Porter to provide members with the internal audit report which he gave to the Executive Board, this to allow progress to be shared with members in relation to the Fleet Management Report.	MP	21.03.2023	

No.	Action / Update	Owner	Date Issued	Date Closed
57	<p><u>Key Financial Controls: Accounts Payable</u></p> <p>Member shared concerns about the Tranman approval process. PC agreed he would check if the system had an audit log of users.</p>	PC	20.06.2023	