North Yorkshire JIAC Work Planner **Last revised : August 2023**

	AGENDA ITEM / ISSUE	ToR	DATE	ASSURANCE
	ITEMS AS REQUIRED			
	PSD / Vetting Visit		TBC	
	Exhibits / Seized Property Visit		TBC	
	Information Management Visit		TBC	
	ICT Visit		TBC	
	TBC OPFCC incl Complaints & Recognition, Community Risk Groups, Independent Custody Visitor Process		TBC	
	TBC Fleet, Criminal Justice, FCR		TBC	
	Business Continuity Exercising Overview	Corporate Risk Management	Sep-22	Meeting with Business Continuity and Risk Manager (LF) and Risk Manager (DS) to recieve briefing on the arrangements in place for business continuity (BC) exercising and the range of sources used to develop exercises of BC plans. Supporting the following aspect of the ToR; "Providing assurance to the Commissioner and Chief Constable of North Yorkshire Police as appropriate on the effectiveness of the risk management framework in operation."
	Meet with Auditors (without executive)	External & Internal Audit		
	Cyber Risk Management - Overview from Management following the RSM assignnment	Internal Audit / Corporate Risk Management	Sep-22	Meeting with Head of ICT (GMc) to provide additional context on the Cyber Risk Management environment following RSM's audit. Overview of the controls in place and how the agreed management actions will address the findings. This supports two areas of the ToR; "Considering the Internal Audit's findings on the internal control environment for the Office of the Commissioner and North Yorkshire Police; ensuring appropriate action is taken to address any areas for improvement." and; "Providing assurance to the Commissioner and Chief Constable of North Yorkshire Police as appropriate on the effectiveness of the risk management framework in operation."
	Police & Crime Plan / Governance	Internal Control Environment	Jun-22	Meeting with SD, Chief Executive & Monitoring Officer to receive briefing on the high level Governance arrangements that are in place and how these support the devlivery of the refreshed Police and Crime Plan to develop member understanding as required by the ToR; "Satisfying itself as to the effectiveness of the internal control framework in operation within the Office of the Commissioner and North Yorkshire Police and advising the Commissioner and Chief Constable of North Yorkshire Police as appropriate."
	Risk Management Overview	Corporate Risk Management	Jun-22	Meeting with DS, Risk Manager to walk through how management grapple with risk management matters at the internal Risk & Assurance Board to develop understanding in order to satisfy the following aspect of the ToR: "Providing assurance to the Commissioner and Chief Constable of North Yorkshire Police as appropriate on the effectiveness of the risk management framework in operation."
30	Confidential Reporting (Whistleblowing)	Regulatory Framework	(deferred from March 21)	Meeting with MW, Head of Professional Standards to consider and seek assurance, as required by the ToR to: "Monitor the policies of both the Commissioner and Chief Constable on the making of protected disclosures (whistleblowing) and any anti-fraud & anti-corruption strategy."
32	ICT Overview / Audit action progress	Internal Audit	Dec-20	Meeting with GM, Head of ICT to consider and seek assurance on: * Review of specific issues and actions following RSM audit reports: 1. 11.19/20 Service Operations 2. 3.20/21 Vulnerability and Incident Response * High and medium priority actions with updates on implementation and challenges * Impact of COVID-19 on ICT operation and delivery Assurance obtained that appropriate organisational team in place, AFIs identified and real progress being made in completing agreed actions. No specific concerns to draw to the PFCC's or CC's attention.
28	RSM Overview / Discussion on Sector Briefing / Meeting without Executive	Internal Audit	Sep-20	NB: Deferred from 03/20 and 06/20 due to COVID-19 Emergency Response Meeting with RSM dealing with: * Meeting independent of PFCC, CC and Executive as required in the ToR to ensure opportunity for concerns / issues to be raised and considered * JIAC steps and best practice to utilise RSM's Sector Briefing including apportunities to obtain further assuraances where required
29	Enable NY - Overview and update on progress with audit actions.	Internal Control Environment	Sep-20	NB: Deferred from 03/20 and 06/20 due to COVID-19 Emergency Response Meeting with Enable SLT to consider and seek assurance on: * Understand the new team, organisational structure and responsibilities * Opportunity to consider COVID-19 impact on internal health & wellbeing (HR) * Disaster recovery update (ICT): agreed for a later specific deep dive.
27	Transform 2020 & Enable Deep Dive	Internal Control Environment	Nov-19	
26	Civil Claims Deep Dive	Civil Claims	Jul-19	
21	Overview of JIAC annual report, AGS and PEEL Report	Financial Reporting / Internal Control	May-19	
25	HMICFRS deep dive	Inspection & Review	May-19	
24	Transform 2020 detailed presentation	Internal Control Environment	Mar-19	
23	Meet with Auditors (without executive)	External & Internal Audit	Nov-18	
22	Deep dive financial statements explanation	Financial Reporting	Jul-18	
19	GDPR Preparation	Information Governance	Mar-18	
17	Cyber Security and Information Risk Guidance for Audit Committees	Information Governance	Dec-17	