

# Organisational Assurance Calendar

## FUTURE External & Internal Assurance Activities 2016 - 17 & 2017 - 18

Inspecting Bodies:
HMIC Inspection
HMIC Report expected
External Audit
Internal Audit
Peer Review
Intelligence Analysis
Risk & Assurance Unit
Information Management
Nexus
Business Continuity
Business Planning

The inspections on this calendar are the ones where we have been given an estimated or confirmed date, by the inspecting body. For a full list of the inspections / audits which are planned for 2015/16 please view the **Inspection & Compliance Detail tab**. If you wish review the inspections which have taken place please refer to the **Archived Calendar 2015-16**. The **Key Updates tab** provides a 'snap shot' of activity over the last month.

		Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr								
		4	5	6	7	8	11	12	13	14	15	18	19	20	21	22	25	26	27	28	29								
		HMIC Additional Data Ref PEEL Legitimacy (Officers inappropriate relationships with victims of Domestic Abuse)																											
		HMIC Force Management Statement (anticipated July or August)					HMIC Force Management Statement (anticipated July or August)					HMIC Force Management Statement (anticipated July or August)					HMIC Force Management Statement (anticipated July or August)												
		HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)												
		Monthly Incident to Crime Audit (NSIR)					Monthly Incident to Crime Audit (NSIR)																						
		Ad-hoc Audit - Hate Crime					ACL Incidents Audits					ACL Incidents Audits					ACL Incidents Audits												
		Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit												
		Fortnightly N100 incident Audit										Fortnightly N100 incident Audit																	
		Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect												
		Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment												
		Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)												
		Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection												
		Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks												
		Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews												
																Thematic – Priority 3: Focus on Prevention & Early Intervention CPDSB 26.07.16													
ACPO Lead	HOD Lead	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr			
#	#	1	2	3	4	5	8	9	10	11	12	15	16	17	18	19	22	23	24	25	26	29	30	31	1	2			
DCC	Head of Info Management	Monthly Incident to Crime Audit (NSIR)					Monthly Incident to Crime Audit (NSIR)																						
DCC	Head of Info Management						Bi-Annual PSW Audit					Bi-Annual PSW Audit					Bi-Annual PSW Audit												
DCC	Head of Info Management	Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit							
DCC	Head of Info Management	Fortnightly N100 incident Audit										Fortnightly N100 incident Audit																	
ACC (L)	D/Supt Crime	Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect							
ACC (L)	D/Supt Crime	Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment							
ACC (L)	D/Supt Crime	Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)							
DCC	Head of PSD	Review of Vehicle Stops					Review of Vehicle Stops					Review of Vehicle Stops					Review of Vehicle Stops					Review of Vehicle Stops							
CEO	Head of O&D	Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks							
DCC	Head of O&D	Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews							
CEO	Head of O&D	Follow Up 1 - 2016 (1 Aug 16)																											
CC	CC CFO											Overtime, Bonus and Honorarium Payments																	
Exec Grp	Leanne McConnell & Jenni Newberry																Thematic – Priority 4: Improve Victim Care CPDSB 23.08.16												

	ACPO Lead	HOD Lead	Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr
	#	#	5	6	7	8	9		12	13	14	15	16		19	20	21	22	23		26	27	28	29	30
Sep-16	ACC(L)		HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)						HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)						HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)						HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)				
	DCC	Head of Info Management	Monthly Incident to Crime Audit (NSIR)						Monthly Incident to Crime Audit (NSIR)																
	DCC	Head of Info Management	Bi-Annual Robbery Audit						Bi-Annual Robbery Audit						Bi-Annual Robbery Audit										
	DCC	Head of Info Management	Sexual Offences Audit						Sexual Offences Audit						Sexual Offences Audit						Sexual Offences Audit				
	DCC	Head of Info Management	Fortnightly N100 incident Audit												Fortnightly N100 incident Audit										
	ACC (L)	D/Supt Crime	Child abuse (including CSE) and Neglect						Child abuse (including CSE) and Neglect						Child abuse (including CSE) and Neglect						Child abuse (including CSE) and Neglect				
	ACC (L)	D/Supt Crime	Joint Strategic Intelligence Assessment						Joint Strategic Intelligence Assessment						Joint Strategic Intelligence Assessment						Joint Strategic Intelligence Assessment				
	ACC (L)	D/Supt Crime	Mental Health intervention (UoY)						Mental Health intervention (UoY)						Mental Health intervention (UoY)						Mental Health intervention (UoY)				
	ACC(L)	Supt Partnerships	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)						Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)						Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)						Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)				
	ACC(L)	D/Supt Crime	Intelligence Submissions Review						Intelligence Submissions Review						Intelligence Submissions Review						Intelligence Submissions Review				
	CEO	Head of O&D	Risk Register BAU Health Checks						Risk Register BAU Health Checks						Risk Register BAU Health Checks						Risk Register BAU Health Checks				
	DCC	Head of O&D	Business Continuity Plan Reviews						Business Continuity Plan Reviews						Business Continuity Plan Reviews						Business Continuity Plan Reviews				
	DCC	Head of Info Management	Data Quality (including Governance)																						
	DCC	Head of Info Management							Management of Police Information																
	DCC	BAS																			Seized Cash Spotcheck				
	CEO	Head of Partnerships & Commissioning																			Commissioning				
	Exec Grp	All																			Quarterly Outcomes Review – Operations Outcomes CPDSB 27.09.16				
Oct-16	ACC(L)	Supt Partnerships	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)						Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)						Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)						Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)				
	CEO	Richard Flint	Athena House Refurbishment Benefit Review (DN096/2014) proposed						Athena House Refurbishment Benefit Review (DN096/2014) proposed						Athena House Refurbishment Benefit Review (DN096/2014) proposed						Athena House Refurbishment Benefit Review (DN096/2014) proposed				
	CEO	Head of O&D	Risk Register BAU Health Checks						Risk Register BAU Health Checks						Risk Register BAU Health Checks						Risk Register BAU Health Checks				
	DCC	Head of O&D	Business Continuity Plan Reviews						Business Continuity Plan Reviews						Business Continuity Plan Reviews						Business Continuity Plan Reviews				
	CEO / DCC	Head of O&D							Collaboration																
	DCC	Head of Info Management	Bi-Annual Violent Crime Audit						Bi-Annual Violent Crime Audit						Bi-Annual Violent Crime Audit						Bi-Annual Violent Crime Audit				
	ACC (L)	D/Supt Crime	Rape & serious sexual crime inc adult sexual exploitation / prostitution						Rape & serious sexual crime inc adult sexual exploitation / prostitution						Rape & serious sexual crime inc adult sexual exploitation / prostitution						Rape & serious sexual crime inc adult sexual exploitation / prostitution				
	ACC (L)	D/Supt Crime	Mental Health intervention (UoY)						Mental Health intervention (UoY)						Mental Health intervention (UoY)						Mental Health intervention (UoY)				
	Exec Grp	All																			Thematic – Priority 5: Transforming the Organisation CPDSB 25.10.16				
	Nov-16	ACC(L)	Richard Flint	Local Police Station Office Boroughbridge Benefit Review (DN 075/2013)																					
CC		CC CFO	Oracle Financials Benefit Review (DN033/2013) proposed																						
CEO		Head of O&D	Risk Register BAU Health Checks																						
DCC		Head of O&D	Business Continuity Plan Reviews																						
CEO		Risk and Assurance Manager																			Risk Management				
DCC		Head of PSD																			Complaints				
ACC (L)		D/Supt Crime	Rape & serious sexual crime inc adult sexual exploitation / prostitution						Rape & serious sexual crime inc adult sexual exploitation / prostitution						Rape & serious sexual crime inc adult sexual exploitation / prostitution						Rape & serious sexual crime inc adult sexual exploitation / prostitution				
ACC (L)		D/Supt Crime	Mental Health intervention (UoY)						Mental Health intervention (UoY)						Mental Health intervention (UoY)						Mental Health intervention (UoY)				
Exec Grp		All	Thematic – Priority 6: People First CPDSB 22.11.16																						

	ACPO Lead	HOD Lead	Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr							
	#	#	5	6	7	8	9		12	13	14	15	16		19	20	21	22	23		26	27	28	29	30							
Dec-16	ACC(SO)	Supt Proactive Policing	CBRN Benefit Review proposed																													
	CEO	Head of O&D	Risk Register BAU Health Checks																													
	DCC	Head of O&D	Business Continuity Plan Reviews																													
	CEO CFO	CC CFO	Key Financial Controls																													
	ACC (L)	D/Supt Crime	Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution								Rape & serious sexual crime inc adult sexual exploitation / prostitution																
	ACC (L)	D/Supt Crime	Mental Health intervention (UoY)					Mental Health intervention (UoY)								Mental Health intervention (UoY)																
	Exec Grp	All	Quarterly Outcomes Review – Organisation Outcomes CPDSB: 20.12.16																													
Jan-17	ACPO Lead	HOD Lead	Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr		Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr		
	#	#	2	3	4	5	6		9	10	11	12	13		16	17	18	19	20		23	24	25	26	27	30	31	1	2	3		
	ACC(SO)	Head of CJ	Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation								Northallerton Custody Benefits Evaluation																
	CC	CC CFO							Annual Petty Cash Check																							
	DCC	Supt Partnerships/ BAS							Seized Cash Spotcheck																							
	CEO	Head of O&D													Follow Up 2 - 2017 (16 Jan 17)																	
	DCC	Supt Partnerships/ BAS							Proceeds of Crime – Management of Sensitive Property																							

Aug-17	ACC(SO)	John Wilkinson	Community Speed Watch Evaluation					Community Speed Watch Evaluation							Community Speed Watch Evaluation																	

ABBREVIATIONS		
ASB	Anti Social Behaviour	
ACC	Assistant Chief Constable	
ANPR	Automated Number Plate Recognition	
CC CFO	Chief Constables Chief Finance Officer	
CEO	Chief Executive Officer	
CPDSB	Corporate Performance Delivery & Scrutiny	
DCC	Deputy Chief Constable	
DCS	Detective Chief Superintendent	
FGM	Female Genital Mutilation	
FM	Forced Marriage	
HBV	Honour Based Violence	
HMIC	Her Majesty's Inspectorate of Constabulary	
HMRC	Her Majesty's Revenue & Customs	
IA	Internal Audit	
NSIR	National Standards of Incident Recording	
NYP	North Yorkshire Police	
ODT	Operational Development Team	
PEEL	Police Effectiveness Efficiency Legitimacy	
PVPP	Protecting Vulnerable Persons Programme	
RAU	Risk & Assurance Unit	
tbc	to be confirmed	